

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problems Mailbox.**



Ottawa Hull K1A 0C9

|      |      |            |
|------|------|------------|
| (21) | (A1) | 2,182,346  |
| (22) |      | 1996/07/30 |
| (43) |      | 1997/02/03 |

(51) Int.Cl. <sup>6</sup> G06K 19/07

(19) (CA) **APPLICATION FOR CANADIAN PATENT** (12)

(54) Unit Comprising Data Memory Card and  
Reading/Writing-Device

(72) Hartmann, Georg - Germany (Federal Republic of) ;  
Weikert, Gunnar - Germany (Federal Republic of) ;  
Horster, Patrick - Germany (Federal Republic of) ;

(71) Bayer Aktiengesellschaft - Germany (Federal Republic of)  
;

(30) (DE) 19528297.3 1995/08/02

(57) 8 Claims

Notice: This application is as filed and may therefore contain an  
incomplete specification.



Unit comprising data memory card and reading/writing device

**Abstract**

A description is given of a method of extending the period of validity of smart cards, without the said cards having to be surrendered by their owner. For this purpose, use is made of reading/writing devices which are installed near smart card users and, by means of extension passwords sent to the owner by a central issuing agency, extend the date of validity of the smart card.

(Fig. 1)

## Patent claims

1. Unit comprising data memory card (1) and at least one reading/writing device (2), it being possible for the card (1) to be read from and/or written to by the reading/writing device (2) only during a defined, extendable interval of use (validity) and it being possible for the period of validity of the card (1) to be extended by means of an extension password (VEC) related to the card, characterized by the following features:
- 5
- a) the card has
- a1) a memory area on which a code (IC) individualizing the card is stored,
- 10 a2) a memory area on which a further code (VC), which characterizes a limited validity, is stored, and
- a3) a memory area (DF) as the actual data memory area;
- b) at least one reading/writing device, which
- 15 b1) has recognition programs (R/IC, R/VC) for the individualizing code (IC) and the validity code (VC),
- b2) has access to a database (Date, CV), which characterizes the already used validity of the card,
- b3) has a program which, on the basis of a comparison of the database (Date, CV) with the validity code (VC), either rejects the card as invalid
- 20 or activates a reading/writing program for the actual memory area (DF);
- and

- 8 -

c) at least one reading/writing device, which in addition to feature b1) has:

c1) a recognition program for an extension password (VEC) and

c2) a program for processing the extension password (VEC) with the individualizing code (IC) and/or the validity code (VC) for generating a new validity code (nVC) and also

c3) means for writing the new validity code (nVC) to the memory area for the validity code (VC),

it being possible for the reading/writing devices according to b) and c) to be integrated in a single device.

- 10    2.    Unit according to Claim 1, the database (Date) being a calendar clock which cannot be manipulated and is integrated into the reading/writing device and the validity code (CV) characterizing a time period of validity.
3.    Unit according to Claim 2, the calendar clock being a radio-controlled standard-time calendar clock.
- 15    4.    Unit according to Claim 1, the validity code (CV) characterizing the permissible intensity of use of the card (1) and the database (CV) characterizing the accumulated, actual use of the card (1), the database (CV) being stored in a memory area of the card (1) and being updated each time the card (1) is used.
- 20    5.    Unit according to one of Claims 1 to 4, the memory card (1) being an optical memory card.
6.    Unit according to one of Claims 1 to 4, the memory card (1) being a chip card.
7.    Unit according to one of Claims 1 to 6, the memory card (1) being a hybrid

card which has a chip memory area and an optical memory area.

8. Unit according to Claim 7, at least the individualizing code (IC) and the validity code (VC) being stored in the chip memory area.

Fetherstonhaugh & Co.,  
Ottawa, Canada  
Patent Agents

Unit comprising data memory card and reading/writing device

- 5 Personal data carrier cards, which have an electronically readable data carrier in the form of a magnetic memory, a chip memory or an optical memory area, are used diversely as access-authorization cards, credit cards, debit cards (for example phone cards) or cards for health insurance funds. Furthermore, it has recently been repeatedly proposed to utilize the high storage capacity of optical memory cards (Optical Memory
- 10 Card = OMC) or hybrid cards (Optical Memory Chip Card = OMCC) to store personal data in encoded form, in particular medical information, in a format you can take with you all the time - so-called smart cards.

The usability of such card systems depends on the existence and maintenance of a not inconsiderable infrastructure of reading/writing devices, which have to be maintained

15 by the card users.

The cards are therefore in each case issued by the companies making the cards and the necessary infrastructure available in return for a user fee for a limited amount of use, the cards containing information on the authorized amount of use and losing their validity once the amount of use has been used up. In this case, the amount of use may

20 be defined by a period of use, defined by an expiry date, or by an intensity of use, for example frequency of use, or a value of the card, which is stored in the card and is updated each time it is used (residual value).

The amount of use of the card is respectively extended by making available a new card, the old card becoming invalid.

This revalidation process is no longer economical, for reasons of cost alone, if the body of the card itself is of a technically complex design, for example in the form of an optical memory card, a chip card comprising a plurality of chips or in the form of hybrid optical memory chip cards. In addition, liquidation of the old card is ruled out if it serves for storing personal data, for example medical data, since the stored data are lost in the liquidation. Sending in the card for revalidation by the company making it available is likewise ruled out, since the card would not be available during the revalidation period and there are objections from the point of view of data protection rights.

- 10 There is therefore a need for a method of revalidating cards in an off-line process in which the card on the one hand remains with the user and on the other hand improper, unauthorized revalidation can be reliably ruled out.

It is proposed according to the invention to carry out the revalidation of the card by the card user himself with the aid of a revalidation password sent by the card-issuing agency and individualized for the respective card. For this purpose, it is necessary to design both the card and the reading/writing devices in a specific way.

The subject of the present invention is a unit comprising data memory card 1 and at least one reading/writing device 2, it being possible for the card 1 to be read from and/or written to by the reading/writing device 2 only during a defined, extendable interval of use (the validity) and it being possible for the period of validity of the card 1 to be extended by means of an extension password (VEC) related to the card, which unit is characterized by the following features:

- a) the card has
  - a1) a memory area on which a code IC individualizing the card is stored,
  - 25 a2) a memory area on which a further code VC, which characterizes a limited validity, is stored, and



- 3 -

- a3) a memory area DF as the actual data carrier area;
  - b) at least one reading/writing device, which
    - b1) has recognition programs R/IC, R/VC for the individualizing code IC and the validity code VC,
    - 5 b2) has access to a database Date, CV, which characterizes the already used validity of the card,
    - b3) has a program which, on the basis of a comparison of the database Date, CV with the validity code VC, either rejects the card as invalid or activates a reading/writing program for the actual memory area DF;
  - 10 and
    - c) at least one reading/writing device, which in addition to feature b1) has:
      - c1) a recognition program for an extension password VEC and
      - c2) a program for processing the extension password VEC with the individualizing code IC and/or the validity code VC for generating the new validity code nVC
      - 15 and also
      - c3) means for writing the new validity code nVC to the memory area for the validity code VC,
- it being possible for the reading/writing devices according to b) and c) to be integrated in a single device.
- 20 The method for making the card available and revalidating it using the unit according to the invention, comprising card and reading/writing device, can be carried out as

follows:

The card is made available for the first time with an authorized amount of initial use stored in the memory area of the card for the validity code VC. With each use of the card, the remaining residual amount of use or the validity of the card is checked.

5 Within a predefined, remaining residual amount of use, the card holder is notified of a revalidation password VEC by the card-issuing agency in return for payment of the revalidation fee by the said card holder. During subsequent use, i.e. input of the card into a reading/writing device, the revalidation password is entered into the reading/writing device and the revalidation of the card is performed by the

10 reading/writing device.

In this arrangement, it may be provided that the revalidation password is sent in the form of a sequence of figures and/or letters which can be read in plain text, which is then read into the reading/writing device via a keyboard, or else in the form of a cheap electronically readable revalidation card, only designed for one-time use, which is

15 either pushed into an additional reading slot of the reading/writing device or is pushed into the single reading slot in alternation with the card to be revalidated.

Whenever the respectively authorized amount of use is defined by a time period of use, the database Date is preferably made available by a calendar clock which cannot be manipulated and is integrated into the reading/writing device. It may preferably be a

20 radio-controlled standard-time clock.

Whenever the respectively authorized amount of use is defined by an intensity of use, for example a number of acts of use or a prepaid amount of monetary value, the database for determining the still authorized residual amount of use CV is integrated into the card and is updated with every use, i.e. every time the card is pushed into the

25 reading/writing device, on the basis of the use which has taken place.

The invention is explained in more detail below with reference to the attached figures:

Fig. 1 shows a unit comprising card and reading/writing device in the case of an authorized amount of use defined by a time period of use, for explaining the procedure of using the card.

Fig. 2 shows a unit according to Fig. 1 for explaining the revalidation.

- 5 Fig. 3 shows a unit according to Fig. 1, the authorized amount of use being defined by an intensity of use.

In Fig. 1, the card 1 and the reading/writing device 2 are schematically represented. The card has a first memory area 11 for the storage of the code IC individualizing the card, a second memory area 12 for the storage of the validity code VC and a third  
10 memory area 13 for receiving the actual information content DF of the card. If the card 1 is, for example, a personal card for storing medical information, it would be possible each time the doctor is visited for the memory area 13 to be read, for providing the medical prehistory, and for additional diagnoses, therapy and prescriptions etc. to be stored by means of reading/writing devices 2 installed in the  
15 doctor's practice.

The memory areas 11 to 13 may be designed in the form of one or more electronic chips arranged on the card or in the form of laser-readable, optical memory areas. In the case of so-called hybrid cards, the memory areas 11 and 12 may be designed in the form of an electronic chip which has additional cryptographic programs and the  
20 memory area 13 may be designed in the form of an optical memory area.

The reading/writing device 2 has a recognition program R/IC for reading the code IC individualizing the card and a recognition program R/VC for recognizing the validity code VC. Furthermore, a radio-controlled calendar clock 21 is integrated into the reading/writing device. After pushing the card 1 into the device 2, the codes IC and VC  
25 are decoded by the programs R/IC and R/VC and the period of validity of the card, determined from the validity code VC, is compared with the calendar database Date by means of a comparison program 22 provided in the device 1. In the event that the card

is invalid, the card is rejected NA via the path N. In the event that the card is valid, the reading/writing program for the memory area 13 is activated via path Y.

Furthermore, it may be provided that, once the card 1 has been pushed into the device 2, a personal identification number of the card holder PIN is entered into the  
5 reading/writing device via a keyboard, the authorization for card use being checked by means of a comparison program 24.

As can be seen from Fig. 2, the reading/writing device 2 further has a program 25, which by processing the extension password VEC, entered for example via the keyboard, with the code VC and/or IC, generates a new validity code nVC, which is  
10 written to the memory area 12.

The reading/writing devices according to Figs. 1 and 2 may be all-in-one devices which allow both use of the card (as in Fig. 1) and revalidation (as in Fig. 2). In the event that they are separate devices, the elements respectively not emphasized need not be present.

15 In the case of an authorized amount of use defined by the intensity of use, according to Fig. 3 the database CV is provided for the determination of the residual validity of the card 1 in a memory area 12a of the card. The reading/writing device 2 contains a recognition program R/CV for the code CV, which characterizes the amount of use used up so far. With every use of the card, the code CV is at the same time updated -  
20 as indicated by arrow 30 - by the reading/writing program 23. The revalidation takes place in a way corresponding to Fig. 2.

2182346

2182346

1/3

1. In the event that the card is valid, the  
3 is activated via path Y.

the card 1 has been pushed into the  
the card holder PIN is entered into the  
authorization for card use being checked by

ting device 2 further has a program 25,  
ard VEC, entered for example via the  
rates a new validity code nVC, which is

s. 1 and 2 may be all-in-one devices which  
d revalidation (as in Fig. 2). In the event  
respectively not emphasized need not be

defined by the intensity of use, according  
he determination of the residual validity of  
rd. The reading/writing device 2 contains a  
CV, which characterizes the amount of use  
, the code CV is at the same time updated -  
/writing program 23. The revalidation takes

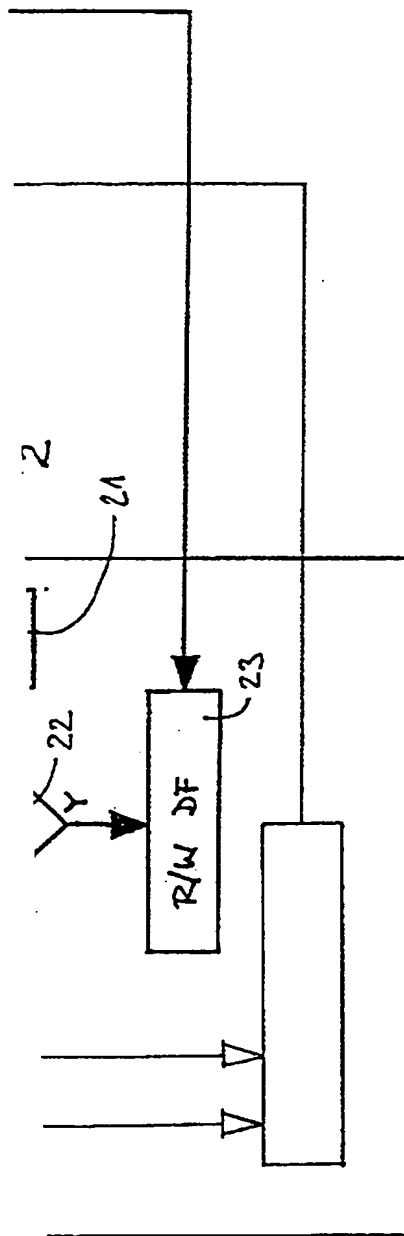


Fig. 1

Patent Agents  
Fetherstonhaugh & Co.  
LeA 31107

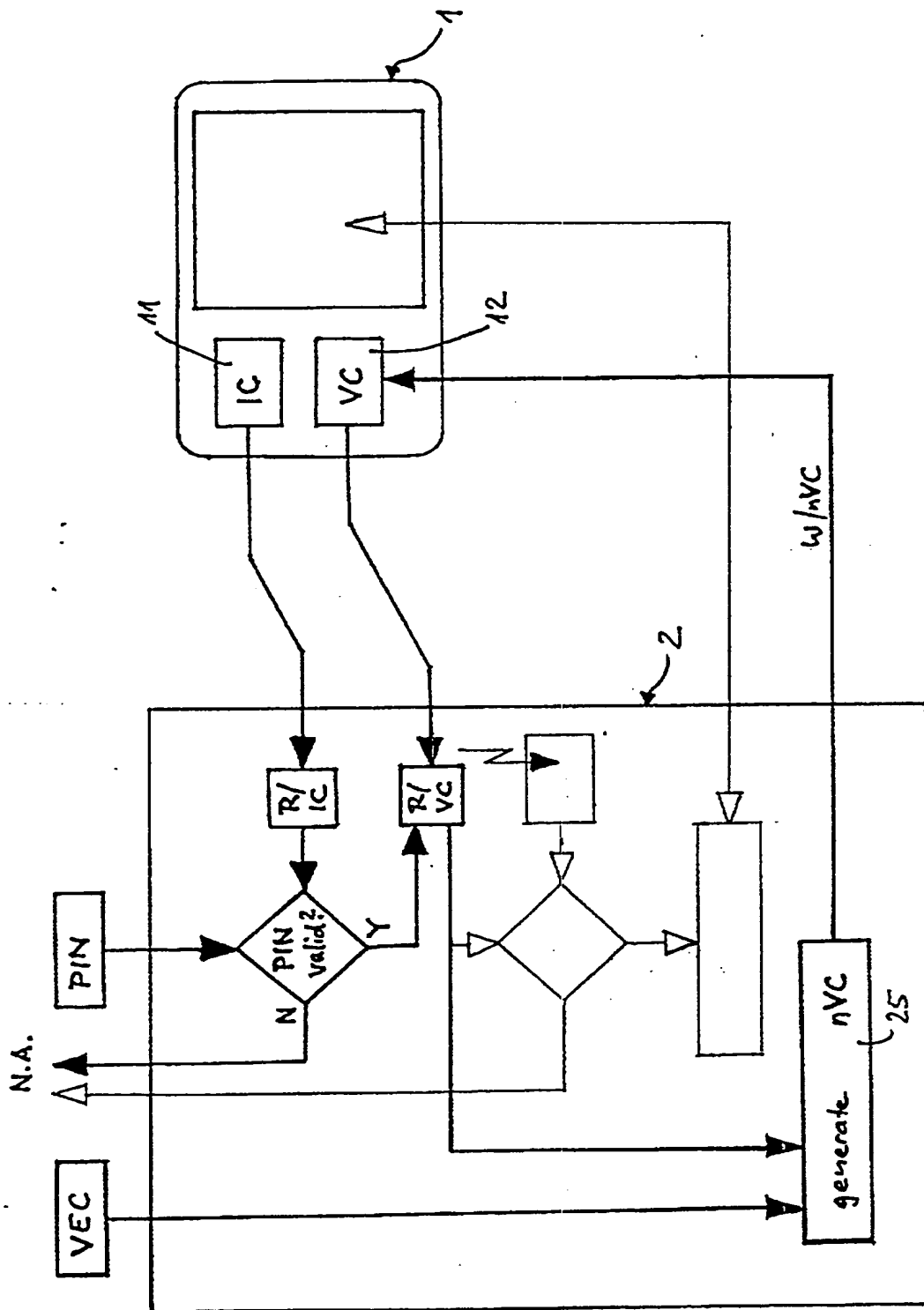


Fig. 2

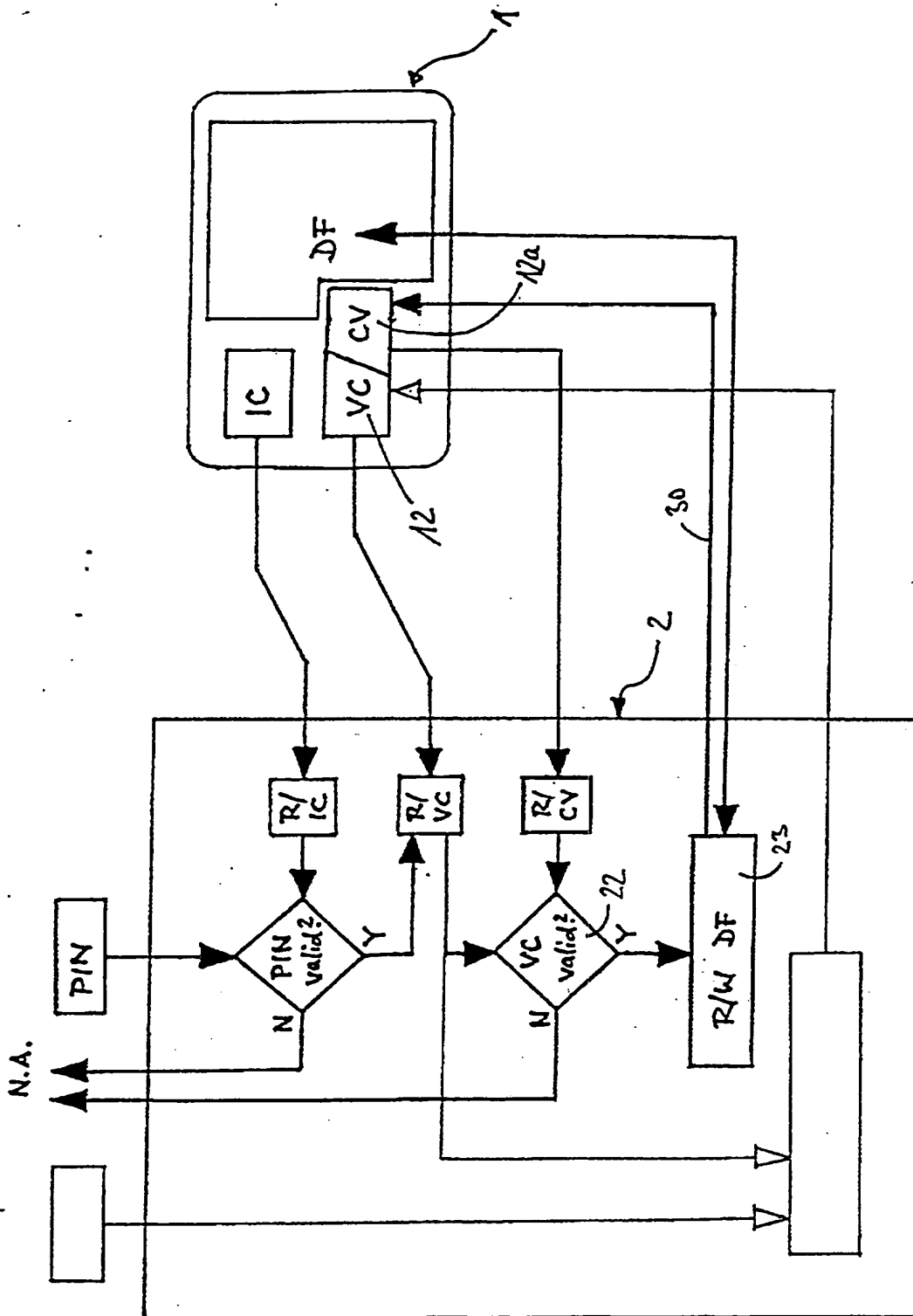


Fig. 3